



## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: December 16, 2004 Name: Jonathan P. Taylor, Ph.D.

Signature: 

BRINKS  
HOFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Yihua Chang

Appln. No.: 09/814,403

Filed: March 22, 2001

For: Water-Dispersible, Cationic Polymers, A  
Method Of Making Same And Items Using  
Same

Examiner: Bernard Lipman

Art Unit: 1713

Attorney Docket No: 659/1625

Mail Stop Issue Fee  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Part B - Fee(s) Transmittal and Request for Reconsideration of Patent Term Adjustment  
☒ Return Receipt Postcard

## Fee calculation:

- ☐ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☒ A petition or processing fee in an amount of \$200 .  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

## Fee payment:

- ☒ A check in the amount of \$1,700 is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

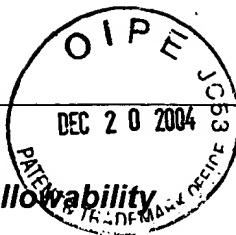
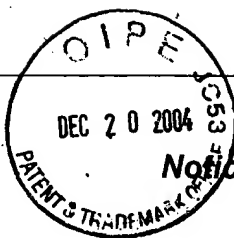
Respectfully submitted,



Jonathan P. Taylor, Ph.D. (Reg. No. 48,338)

Date

12-16-04



### Notice of Allowability

Application No.	Applicant(s)	
09/814,403	CHANG ET AL.	
Examiner	Art Unit	
Bernard Lipman	1713	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to papers filed 24 June 2004.
2. ☒ The allowed claim(s) is/are 1-3, 11, 12, 14, 15 and 18-20.
3. ☐ The drawings filed on \_\_\_\_\_ are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All    b) ☐ Some\*    c) ☐ None    of the:
  1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
  6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
    - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
      - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
    - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

#### Attachment(s)

- |   |  |
|---|--|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892)  | 5. <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)            |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                | 6. <input type="checkbox"/> Interview Summary (PTO-413),<br>Paper No./Mail Date _____. |
| 3. <input type="checkbox"/> Information Disclosure Statements (PTO-1449 or PTO/SB/08),<br>Paper No./Mail Date _____ | 7. <input checked="" type="checkbox"/> Examiner's Amendment/Comment                    |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material          | 8. <input type="checkbox"/> Examiner's Statement of Reasons for Allowance              |
|   | 9. <input type="checkbox"/> Other _____.   |



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**PATENT APPLICATION INFORMATION RETRIEVAL**


Patent Term Adjustment (PTA) for publication number: 09/814,403			
			Days
Filing or 371(c) Date:	03-22-2001	USPTO Delay (PTO):	89
Issue Date of Patent:	-	Three Years:	-
Pre-Issue Petitions (days):	+0	Applicant Delay (APPL):	189
Post-Issue Petitions (days):	+0	Total PTA:	0
USPTO Adjustment (days):	+0	Explanation of Calculations	

**Search Options**

Assignments
Continuity Data
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File History
Publication Review
Published Documents

Patent Term Adjustment History			
Date	Contents Description	PTO (days)	APPL (days)
09-16-2004	Mail Notice of Allowance		
09-15-2004	Issue Revision Completed		
09-15-2004	Notice of Allowance Data Verification Completed		
09-15-2004	Case Docketed to Examiner in GAU		
09-07-2004	Notice of Allowability		
07-08-2004	Date Forwarded to Examiner		
06-24-2004	Response after Non-Final Action		62
06-24-2004	Request for Extension of Time - Granted		↑
06-24-2004	Workflow incoming amendment IFW		↑
04-13-2004	Correspondence Address Change		↑
03-01-2004	Case Docketed to Examiner in GAU		↑
02-10-2004	Correspondence Address Change		↑
02-10-2004	Change in Power of Attorney (May Include Associate POA)		↑
01-23-2004	Mail Non-Final Rejection		↑
01-20-2004	Non-Final Rejection		
12-19-2003	IFW Amended case processing Complete		
12-19-2003	Date Forwarded to Examiner		
11-06-2003	Request for Continued Examination (RCE)		
12-19-2003	Express Abandonment (for Entry of CPA / RCE / Rule129)		
12-17-2003	IFW Amended case processing Complete		

12-17-2003	Date Forwarded to Examiner		
11-06-2003	Amendment after Final Rejection		65
11-06-2003	Request for Extension of Time - Granted		↑
11-06-2003	Information Disclosure Statement (IDS) Filed		↑
11-06-2003	Workflow - Request for RCE - Begin		↑
06-02-2003	Mail Final Rejection (PTOL - 326)		↑
05-30-2003	Final Rejection		
04-23-2003	Date Forwarded to Examiner		
04-21-2003	Response after Non-Final Action		6
03-18-2003	Information Disclosure Statement (IDS) Filed		↑
02-25-2003	Information Disclosure Statement (IDS) Filed		↑
02-20-2003	Information Disclosure Statement (IDS) Filed		↑
01-15-2003	Mail Non-Final Rejection		↑
01-13-2003	Non-Final Rejection		
12-13-2002	Date Forwarded to Examiner		
11-25-2002	Response to Election / Restriction Filed		56
11-05-2002	Mail Notice of Informal or Non-Responsive Amendment		↑
10-04-2002	Date Forwarded to Examiner		↑
09-30-2002	Informal or Non-Responsive Amendment after Examiner Action		↑
09-30-2002	Response to Election / Restriction Filed		
09-30-2002	Request for Extension of Time - Granted		
08-19-2002	Mail Restriction Requirement	89	
08-19-2002	Requirement for Restriction / Election	↑	
02-26-2002	Information Disclosure Statement (IDS) Filed	↑	
02-28-2002	Case Docketed to Examiner in GAU	↑	
02-12-2002	Information Disclosure Statement (IDS) Filed	↑	
10-15-2001	Case Docketed to Examiner in GAU	↑	
09-26-2001	Application Dispatched from OIPE	↑	
05-02-2001	Application Is Now Complete	↑	
05-02-2001	Notice Mailed--Application Incomplete--Filing Date Assigned	↑	
05-01-2001	Correspondence Address Change	↑	
04-05-2001	IFW Scan & PACR Auto Security Review	↑	
03-22-2001	Initial Exam Team nn	↑	